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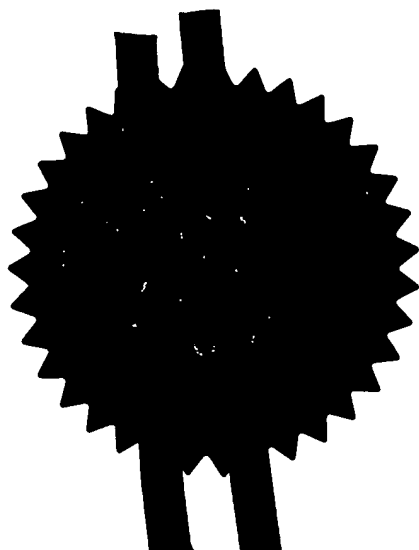


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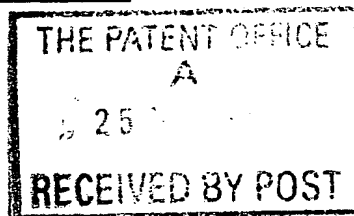
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Patents Act 1977

Rule 16

## Request for grant of a patent

25MAR99 E435259-1 000611  
P01/7700 0.00 - 9906792.8



### The Patent Office

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1.	Your reference	UK999026		
2.	Patent application number (The Patent Office will fill in this part)	9906792.8		
3.	Full name, address and postcode of the or of each applicant (underline all surnames)	INTERNATIONAL BUSINESS MACHINES CORPORATION Armonk New York 10504 United States of America		
	Patents ADP number (if you know it)	519637001		
	If the applicant is a corporate body, give the country/state of its incorporation	State of New York United States of America		
4.	Title of the invention	DATA PROCESSING SYSTEMS AND METHOD FOR PROCESSING TASKS IN SUCH SYSTEMS		
5.	Name of your agent (if you have one)	D P Litherland		
	"Address for Service" in the United Kingdom to which all correspondence should be sent (including the postcode)	IBM United Kingdom Limited Intellectual Property Department Hursley Park Winchester Hampshire SO21 2JN		
	Patents ADP number (if you know it)	6603898001		
6.	If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country	Priority App No (if you know it)	Date of filing (day/month/year)
7.	If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	No of earlier application	Date of filing (day/month/year)	

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:  
a) any applicant named in part 3 is not an inventor, or  
b) there is an inventor who is not named as an applicant, or  
c) any named applicant is a corporate body.)

Yes

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description	7	JSX
Claim(s)	2	
Abstract	1	
Drawing(s)	3	L3

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Priority documents	0
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Statement of inventorship and right to grant of a patent (Patents Form 7/77)	2 /
Request for preliminary examination and search (Patents Form 9/77)	1 /
Request for substantive examination (Patents Form 10/77)	
Any other documents (please specify)	Fee sheet (FS1) /

11. I/We request the grant of a patent on the basis of this application

D.P. Litherland  
Signature

23 March 1999  
Date

12. Name and daytime telephone number of person to contact in the United Kingdom
- D P Litherland  
01962 816303

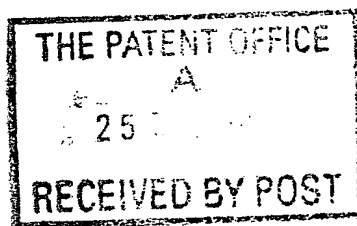
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Rule 15

Statement of inventorship and of  
right to grant of a patent



The Patent Office

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1.	Your reference	UK999026	25 MAR 1999
2.	Patent application number (if you know it)	9906792.8	
3.	Full name of the or of each applicant	INTERNATIONAL BUSINESS MACHINES CORPORATION	
4.	Title of invention	DATA PROCESSING SYSTEMS AND METHOD FOR PROCESSING TASKS IN SUCH SYSTEMS	
5.	State how the applicant(s) derived the right from the inventor(s) to be granted a patent	By employment and by agreement	
6.	How many, if any, additional Patents Forms 7/77 are attached to this form?		
7.	I/We believe that the person(s) named over the page (and on any extra copies of this form) is/are the inventor(s) of the invention which the above patent application relates to.		
		 Signature	23 March 1999 Date
8.	Name and daytime telephone number of person to contact in the United Kingdom	D P Litherland 01962 816303	

Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

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7629009001

Patents ADP number (if known)

Patents ADP number (if known)

If there are more than three inventors, please write their names and addresses on the back of another Patents Form 7/77 and attach it to this form

#### REMINDER

Have you signed the form?

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**DATA PROCESSING SYSTEMS AND METHOD FOR  
PROCESSING TASKS IN SUCH SYSTEMS**

5       The present invention relates generally to a method for processing tasks in data processing systems and more particularly to the scheduling of tasks in such systems.

10       In some computing applications, for instance the control of an I/O adapter, tasks to be processed by code executing on an adapter  
15       microprocessor are scheduled by placing the tasks onto a queue. When a task is processed, it is taken from the queue and the code for processing the task is loaded into the microprocessor, sometimes via an instruction cache (abbreviated hereinafter to i-cache). To take the example of a storage array adapter, various different tasks are defined, for example  
20       RAID 5 write and read operations, DMA hardware programming operations etc. During operation of the adapter, the different scheduled tasks are interleaved on the task queue and each task is processed in turn. Moving from one task to the next on the queue will often necessitate the execution of different code on the processor and therefore the loading of  
25       different code into the instruction cache. Such an arrangement does not provide a good i-cache hit ratio and therefore the overall performance of the system is adversely impacted.

30       Various techniques are known in the art for optimising cache efficiency and improving the i-cache hit ratio. The simplest technique may perhaps be to make the i-cache larger so that code for processing multiple tasks can fit into the cache. However the developer of code may not be able to make use of such a solution if the additional cost associated with a larger cache is not acceptable. Furthermore, if the  
35       i-cache is located on the microprocessor chip then its size cannot be altered. Other known techniques for improving i-cache hit ratio involve use of branch prediction, prefetching and the like.

40       It would be desirable to provide a technique to improve the i-cache hit ratio in a data processing system.

45       According to one aspect of the present invention there is provided a method for processing tasks in a data processing system including a microprocessor and an instruction cache and wherein tasks of different types are defined in the system, each task type having code associated therewith, the tasks being processed in order by loading the code associated with the task into the instruction cache for execution on the microprocessor, the method comprising the step of placing tasks of like type into a batch such that tasks in a batch are processed before processing the next ordered task.

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Thus in a data processing system according to the present invention, outstanding tasks are sorted into batches where each batch consists of tasks which all require the same code to be executed. This arrangement is especially advantageous in the case where the code associated with a batched task fits completely within the i-cache. Each batch can then be processed in one looping operation without incurring the penalty associated with i-cache misses. Even where the code is larger than the i-cache, the invention provides some benefit in terms of i-cache hit ratio provided that the code is less than twice the size of the i-cache.

In accordance with a preferred feature of the invention, the i-cache hit ratio can be further optimised in the case where the code associated with at least one type of task is not capable of being fully loaded into the instruction cache. In this case the code is adapted such that it is logically divided into at least two portions by one or more break points defined within the code. When the code is executed by the microprocessor, a command defined at the break point causes the scheduling of a further task for future execution of the code of a second portion. The break point is defined such that the first portion of code can fit completely within the i-cache. If the second portion of code does not fit completely within the i-cache then a further break point may be defined in the code. It is convenient to define the portions such that they fall between and not within operations which must be performed atomically.

Thus the code executed on the microprocessor is effectively broken up into cache size portions to improve the i-cache hit ratio. This high-level restructuring of code is a significant departure from known methods of optimising i-cache efficiency, most of which focus at a lower level, looking at branch prediction, prefetching and the like.

Although it would be possible in some embodiments to sort the tasks after they are ready for execution, it is preferred that each task is placed in the appropriate batch at the time it is identified.

According to another aspect of the invention there is provided a computer program product comprising a computer usable medium having computer readable program code means embodied in the medium for processing tasks in a data processing system, the data processing system including a microprocessor and an instruction cache and wherein tasks of different types are defined in the system, each task type having code associated therewith, the tasks being processed in order by executing the associated code on the microprocessor, the program code means comprising code means for scheduling tasks of like type into a batch such that tasks in a batch are processed before processing the next ordered task.

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According to a further aspect of the invention there is provided a data processing apparatus comprising a microprocessor and an instruction cache wherein tasks of different types are defined in the system, each task type having code associated therewith, the apparatus including:  
5 means for processing the tasks in order by loading the associated code into the instruction cache for execution on the microprocessor; and means for scheduling tasks of like type into a batch, wherein the means for processing the tasks is operable to process the tasks in a batch before processing the next ordered task.

10 A preferred embodiment of the present invention will now be described with reference to the accompanying drawings in which:

Figure 1 is a schematic representation of a processing apparatus  
15 embodying the invention;

Figure 2 is a flow diagram showing the steps involved in a task scheduling method according to a preferred embodiment of the invention;

20 Figure 3 is a flow diagram showing the steps involved in a task queue processing method according to a preferred embodiment of the present invention;

25 Figure 4 is a schematic representation of the blocks of code involved in a RAID 5 read operation in the processing apparatus; and

Figure 5 is a flow diagram showing the task scheduling steps involved in a RAID 5 read operation in the processing apparatus.

30 With reference first to Figure 1, there is shown a processing apparatus 10 comprising a microprocessor 12 and DRAM 14 for storing data. Also provided as part of the processing apparatus is a ROM 16 in which is stored firmware that executes on the microprocessor to provide a variety of different services within the apparatus. The firmware is loaded from  
35 ROM to DRAM on initialisation of the apparatus. The processing apparatus further includes external interface logic 18 for communicating with external devices. In the case where the processing apparatus is part of a storage controller, the external interface logic is set up to communicate in a known manner with a host system and a storage subsystem.

40 In the present embodiment, the microprocessor includes a cache serving as an instruction cache (i-cache) 19. A typical on-chip i-cache is 16KB in size. The cache may also serve as a data cache or there may be a separate data cache implemented in the microprocessor. The operation of  
45 the data cache is not important in the context of the present embodiment so for present purposes only the i-cache is shown. It will be appreciated

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that the present invention is equally applicable to systems where the i-cache is separate to the microprocessor.

5 In operation, the processing apparatus carries out work by scheduling tasks for processing by code executing on the microprocessor. When a task is scheduled it is placed on a queue (or alternatively a list or the like) and when it reaches the head of the queue, the code required to process the task is loaded from DRAM into the i-cache and thence to the microprocessor. Execution of the code for one type of task may result  
10 in the creation of further tasks to be added to the queue for later processing. When the task is complete, the next task in the queue is processed. If the next task is of a different type in that it requires a different portion of code, the new code is loaded into the i-cache and the task is processed. As described above, prior systems process tasks in  
15 the natural order in which they become ready for processing and this can result in poor i-cache hit ratio.

In accordance with the present embodiment, the task queue is managed in a different fashion, in that tasks of like type (i.e. tasks  
20 which require the same code path in the i-cache) are processed in batches such that if a task of a particular type already exists on the queue, then a subsequently scheduled task of the same type is grouped with the existing task instead of being placed at the tail of the queue. When the existing task reaches the head of the queue and the associated code is  
25 loaded into the i-cache, the microprocessor processes both tasks of the group using the same code before moving onto the next task in the queue.

This task scheduling process can be understood with reference to the process shown in Figure 2 which starts at step 20. At step 22, the process is shown as waiting for a new task to be scheduled. At step 24, a  
30 new task is identified and at step 26, a determination is made as to whether the task queue already includes a task of the same type as the new task. If yes, the new task is batched with the existing task(s) at step 28. If No, the new task is added to the end of the queue at step 30.  
35

The processing of the task queue will now be described with reference to the process shown in Figure 3 which starts at step 40. At step 42, assuming that queue is not empty, a task is taken from the head of the queue. At step 42, the code for processing the task is loaded line  
40 by line from the DRAM into the i-cache and executed on the microprocessor (step 46). On completion of the task, a determination is made at step 48 as to whether there is a batched task of the same type as the previously processed task. If yes, the batched task is processed by executing the code already located in the i-cache. If no, the process moves to the next  
45 task in the queue. Thus in the case where the code associated with a

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batched task can completely fit within the i-cache, the tasks in a batch can be processed without incurring the penalty of i-cache misses.

It will be appreciated that the present invention is applicable to the use of different batch types on the one task queue. In the case where the processing apparatus of the present embodiment is a storage controller, the different batch types might be I/O starts, I/O completions, Program DMA hardware etc.

Next will be described an enhanced feature of the present embodiment in which the i-cache hit ratio is further improved. In the foregoing description, the i-cache hit ratio is optimised when the code associated with each task can fit within the i-cache such that when subsequent tasks of a batch are processed, all the code needed for the processing is contained within the i-cache. In real applications, this may not be true for every task defined with the apparatus. Therefore in accordance with the enhanced feature, the code is adapted such that it is logically divided into portions each of which can load into the cache.

This may be understood with reference to the example of a RAID 5 read operation in the case where the processing apparatus is a storage controller. In Figure 4 there is shown a schematic representation of the code involved in such an operation in the case where the read data requested by the host is held within a cache in the controller (no access to disk being required). In the present embodiment, the code is logically divided into five blocks each of which relates to a different process involved in the read operation.

Transaction In (B1): the host transaction is routed to the RAID 5 firmware component and some initial processing is performed to determine that the transaction parameters are valid;

RAID 5 READ cache lookup (B2): the data cache is checked for the data requested by the host;

Program DMA (B3) : DMA hardware is set-up to DMA data from data cache to host system;

DMA Complete (B4) : DMA hardware is reallocated for use by subsequent DMA operations;

Transaction Complete (B5): Message is sent to host to signal completion of transaction.

Assume for the sake of this example that the i-cache is capable of holding the 'DMA Complete' and 'Transaction Complete' code blocks

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together and is further capable of holding the 'Transaction In' and 'RAID 5 Read cache lookup' blocks together. However it is not capable of holding together the three blocks: Transaction In, RAID 5 Read cache lookup and Program DMA. By the term 'capable' is meant that the cache is both large enough to hold the code and further that there is no other reason why multiple blocks of code may not be loaded into the cache (e.g. memory clash).

In the absence of the preferred feature of the invention, when a task is scheduled for carrying out a RAID 5 read operation, the three blocks B1 to B3 will be loaded sequentially into the cache such that the block B3 code will displace at least some of the block B1 code in the cache. If there is a further RAID 5 read task in the RAID 5 read batch on the queue, the processing of the further task will result in an i-cache miss for the 'Transaction In' block of code.

To address this problem, the preferred feature provides for a logical division of the code by providing a break point between the B2 and B3 blocks. In accordance with the present embodiment, this break point takes the form of a command to schedule a task to carry out the Program DMA operation. This further task will be added to the queue. In general terms therefore, a break point is inserted into the code by the code developer to provide a logical division of the code into portions that can be held as a unit in the i-cache. The break points will be positioned to satisfy this criteria, preferably also that such that each break point falls between and not within operations which must be performed atomically. Suitable locations for break points may be devised by compiling the code associated with a task and determining whether the compiled code is capable of fitting into the cache. If not the source code can then be analysed to find a suitable break point such that the compiled code will fit within the cache. In the present example, blocks B1 and B2 form a first portion, block B3 forms a second portion and blocks B4 and B5 form a third portion.

To further aid the understanding of this enhancement, there will next be described the task scheduling process undergone in the processing apparatus during a RAID 5 read operation in the case where the requested data is already held in a data cache in the apparatus.

Referring now to Fig. 5, the process starts at step 60 where the processing apparatus receives a read transaction from the host and determines that the read involves a RAID 5 read operation and schedules a task on the task queue. This task, which may for convenience be referred to as a 'RAID 5 read' task, may be added to the tail of the queue or may be batched with other like tasks in a manner described above with reference to Figure 2.

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At step 62, when the task reaches the head of the queue, it is processed and the relevant code (starting with the 'Transaction In' code) is loaded from DRAM for execution on the microprocessor (step 64). The 'Transaction In' code block completes and the 'RAID 5 Read cache lookup' block is also loaded into the i-cache. This is executed and a determination is made that the requested data is present in the data cache. In accordance with the present embodiment, on completion of this operation, a command in the 'RAID 5 read cache lookup' code causes a task to be scheduled for later execution of the 'Program DMA' operation (step 66). This task is either added to an existing 'Program DMA' batch on the queue or if no instances of this batch are on the queue, then it is added to the tail of the queue.

It should be noted that, if at this point, it is determined that there are further 'RAID 5 read' tasks in the batch, then these will be processed in a manner described above with reference to Figure 3. As previously indicated, because the blocks B1 and B2 are already located within the cache, this further task will be processed without i-cache misses.

When the Program DMA task reaches the head of the queue, the code is loaded into the i-cache for execution by the microprocessor. On completion of this operation, a further task is scheduled at step 70, to carry out a DMA complete operation. Note that there is a natural break between 'Program DMA' and 'DMA complete' operations during which the DMA data transfer is carried out. Again, the 'DMA complete' may be added to a batch or alternatively to the tail of the queue. If there are other 'Program DMA' tasks in the batch, these are then processed as described above.

When the 'DMA complete' task reaches the head of the queue, it is processed by sequentially loading the 'DMA complete' and 'Transaction Complete' code blocks into the i-cache during execution of the code blocks by the microprocessor (step 74). When this task completes, and assuming that there are no batched 'DMA' tasks on the queue, the process ends at step 76.

While an embodiment of the invention has been described in detail above, it will be apparent to those skilled in the art that many variations and modifications can be made without departing from the scope of the invention. In particular, it will be clear that the feature of the invention whereby the code is broken-up into i-cache sized chunks may be used in environments other than the storage controller described above.

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**CLAIMS**

1. A method for processing tasks in a data processing system including a microprocessor and an instruction cache wherein tasks of different types are defined in the system, each task type having code associated therewith, the tasks being processed in order by loading the associated code into the instruction cache for execution on the microprocessor, the method comprising the step of placing the tasks of at least one task type into a batch such that the tasks in a batch are processed before processing the next ordered task.

2. A method as claimed in claim 1 wherein the code associated with at least one type of task fits within the instruction cache, the method comprises the further steps of: processing such a task by loading the associated code into the instruction cache and executing the code on the microprocessor, and, on a determination that there is a further task of like type in the batch, executing the loaded code to process the further task.

3. A method as claimed in claim 1 or claim 2 wherein the code associated with at least one type of task is not capable of being loaded as a whole into the instruction cache, the code being logically divided at one or more break points into two or more portions, and wherein during processing of such a task, the method comprises the further steps of responding to a break point defined within a first portion of the code to schedule a further task for future execution of a second portion of the code.

4. A method as claimed in claim 3 wherein the further scheduled task is placed in a batch of like tasks.

5. A method as claimed in claim 3 or claim 4 wherein each of portions of code defines an atomic operation.

6. A method as claimed in any preceding claim, wherein a task is placed in a batch at the time the task is scheduled.

7. A method as claimed in any preceding claim wherein the tasks are managed as a queue.

8. A computer program element comprising computer program code means for processing tasks in a data processing system, the data processing system including a microprocessor and an instruction cache and wherein tasks of different types are defined in the system, each task type having code associated therewith, the tasks being processed in order by executing the associated code on the microprocessor, the program code

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means comprising code means for scheduling tasks of like type into a batch such that tasks in a batch are processed before processing the next ordered task.

5           9.     A computer program element as claimed in claim 8 embodied on a computer readable medium.

10           10.    Data processing apparatus comprising a microprocessor and an instruction cache wherein tasks of different types are defined in the system, each task type having code associated therewith, the apparatus including:

15                means for processing the tasks in order by loading the associated code into the instruction cache for execution on the microprocessor; and

              means for scheduling tasks of like type into a batch, wherein the means for processing the tasks is operable to process the tasks in a batch before processing the next ordered task.

20           11.    Data processing apparatus as claimed in claim 9 wherein the microprocessor and i-cache are embodied on a single chip.

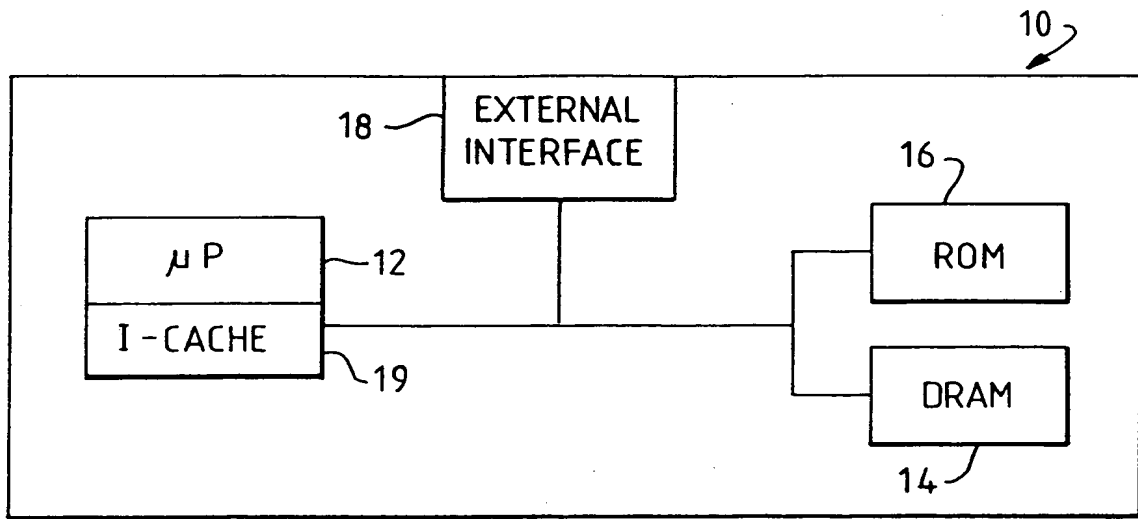
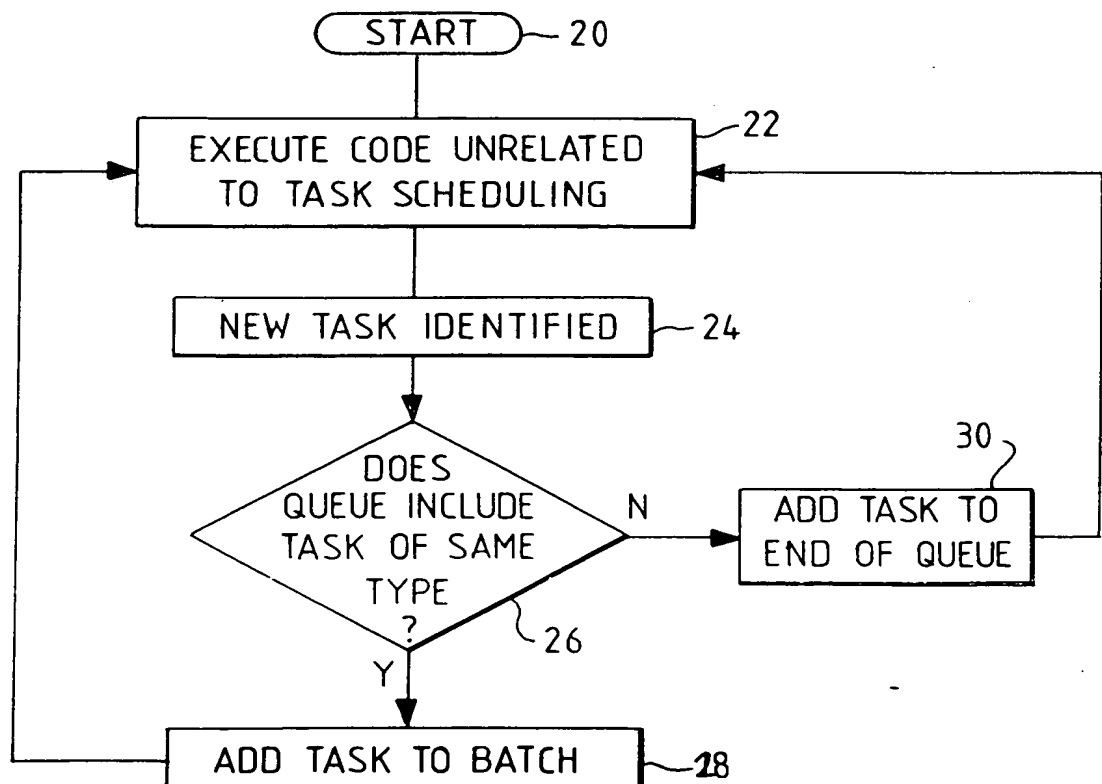
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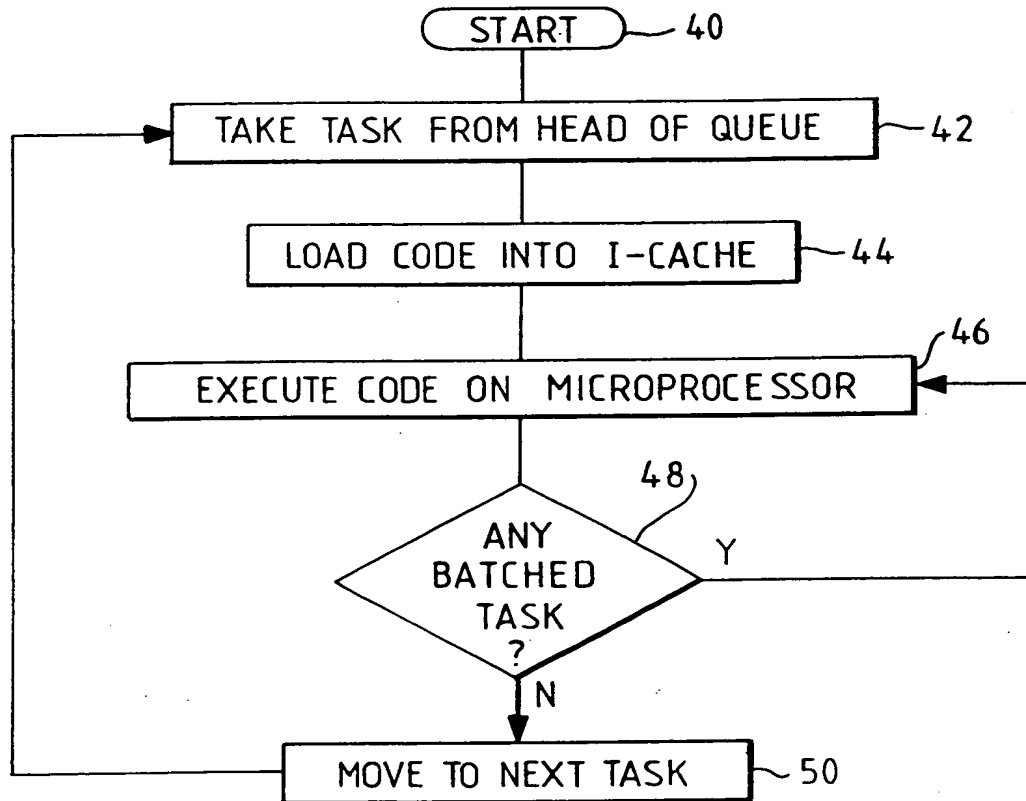
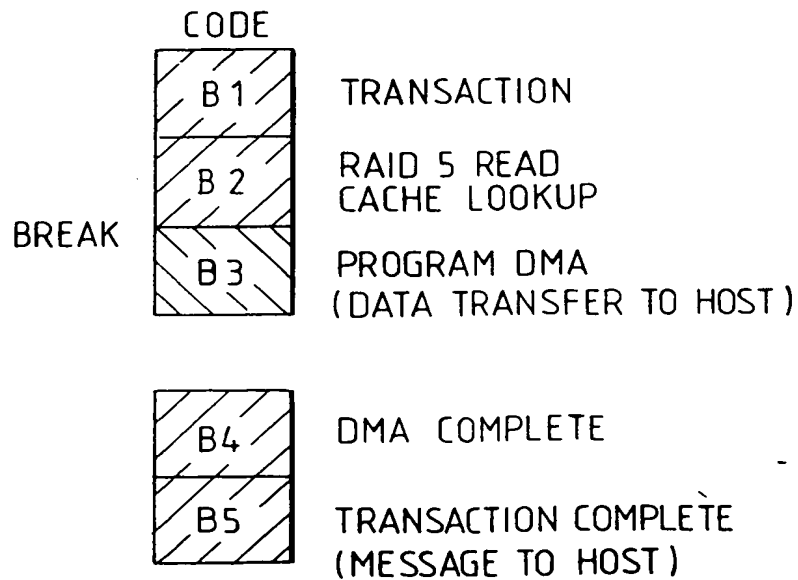
**ABSTRACT****DATA PROCESSING SYSTEMS AND METHOD FOR  
PROCESSING TASKS IN SUCH SYSTEMS**

5 Described is a method and apparatus for processing tasks in a data  
processing system including a microprocessor and an instruction cache.  
Tasks of different types are defined in the system with each task type  
10 having code associated therewith. The tasks are managed in a queue and  
are processed in order by loading the associated code into the  
instruction cache for execution on the microprocessor. The method  
comprises the steps of scheduling tasks of like type into a batch such  
that tasks in a batch are processed before processing the next ordered  
task. In the case where the code associated with a batched task fits  
15 within the instruction cache, the tasks in a batch can be processed  
without incurring the penalty associated with instruction cache misses.

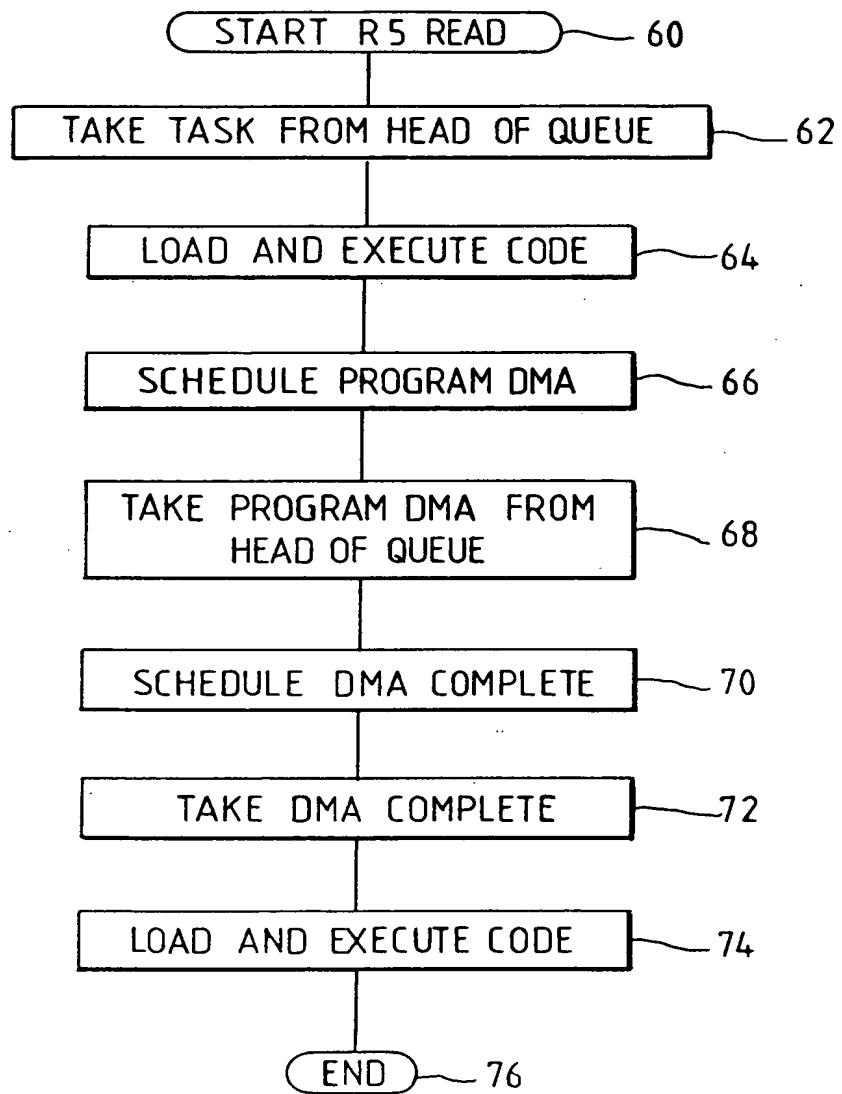
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FIG. 1FIG. 2

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FIG. 3FIG. 4

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FIG. 5

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